



Reliability Assessment of a Fully Packaged 1x12 Silicon Photonic MEMS Multicast Switch

Jae Hyeon Kim¹, Dong Uk Kim¹, Mingi Lim¹, Young J. Park¹, Dong Ju Choi¹,
Myung Seok Hong¹, Kyuoungsik Yu², and Sangyoon Han¹

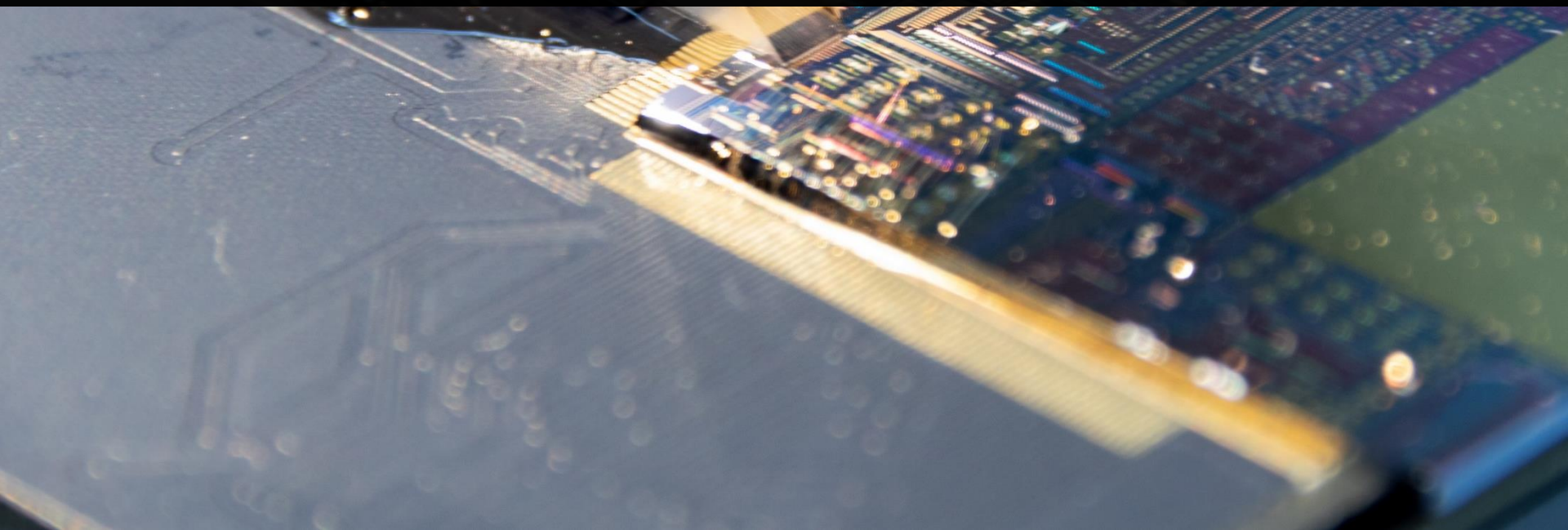
¹DGIST, ²KAIST

2024/01/29

Photonics West 2024, Invited talk

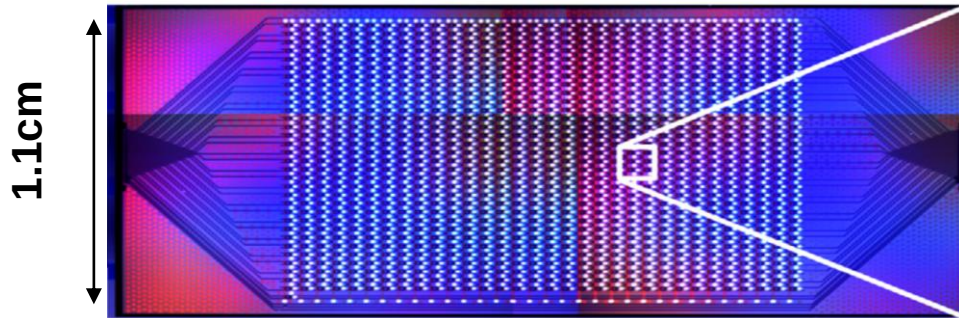


Intro: silicon photonic **MEMS** switches



Si photonic switch

Si photonic switch (AIST)



K. Ikeda et al., Opt. Comm, (2020)

- Si waveguide + thermal heaters
- Pros
 - ~ 10 μ s speed
 - Low cost (monolithic)
- Cons
 - ~32x32 ports
 - ~ 10 dB loss

MEMS mirror switch (Calient)



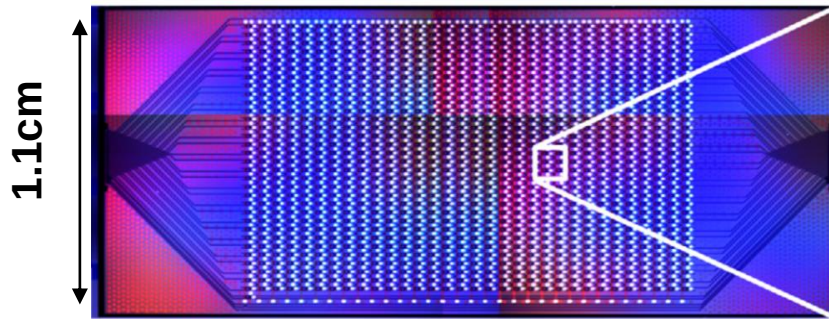
45 cm

calient.net

- Free space + micro-mirror
- Pros
 - ~ 500x500 ports
 - ~ 1 dB loss
- Cons
 - > 10 ms speed
 - High cost (manual assembly)

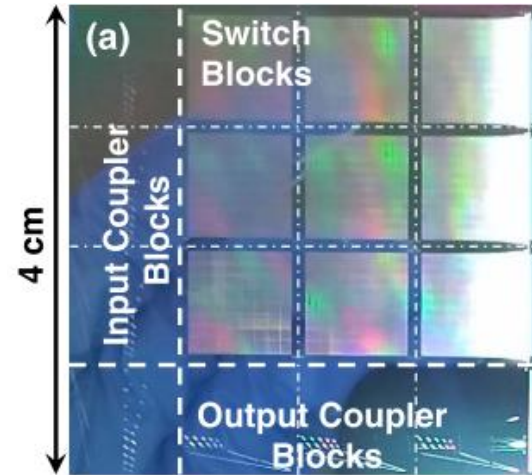
Si photonic **MEMS** switch

Si photonic switch (AIST)



K. Ikeda et al., Opt. Comm, (2020)

Si photonic **MEMS** switch
(Berkeley, DGIST)

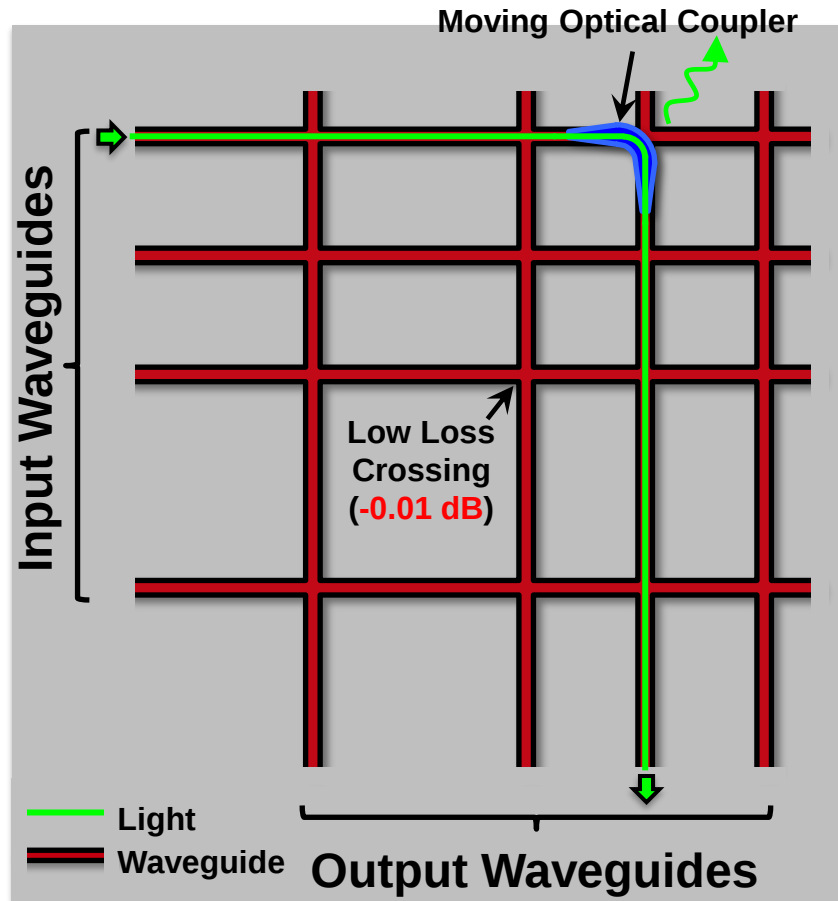


TJ. Seok et al., Optica, (2019)

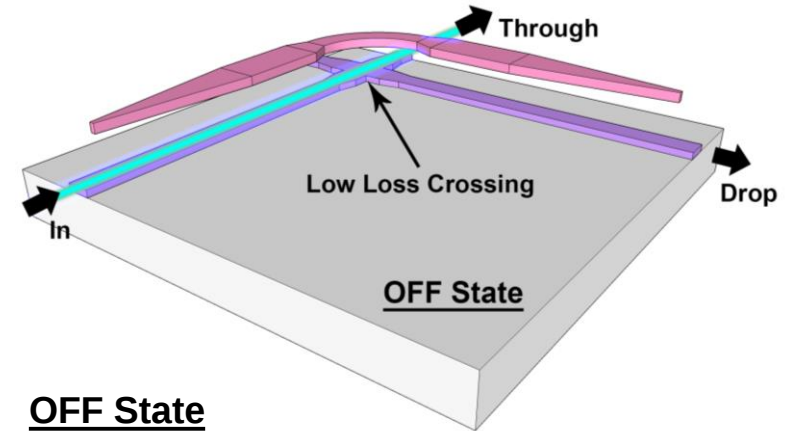
- Si waveguide + thermal heaters
 - 32x32 ports (10 dB loss)
 - 10 μ s speed
- Si waveguide + **high speed MEMS**
 - 240x240 ports (10 dB loss)
 - < 1 μ s speed

Si photonic MEMS switch

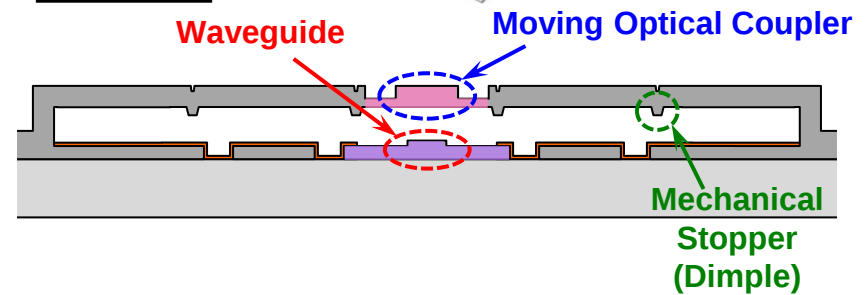
Layout



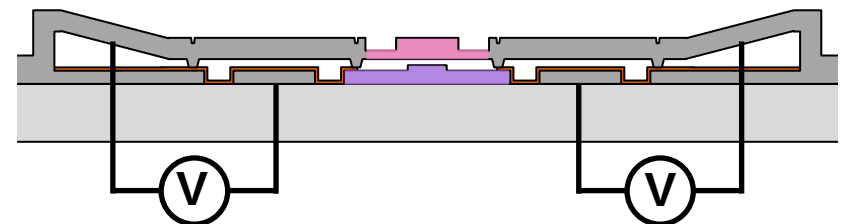
Unit cell



OFF State



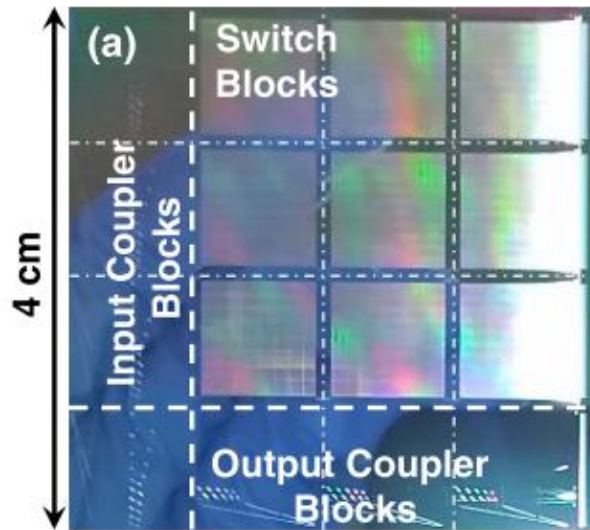
ON State



- Single stage switching → no loss accumulation
- Electrostatic operation → ultra-low power (10 fW), 10^{12} reduction

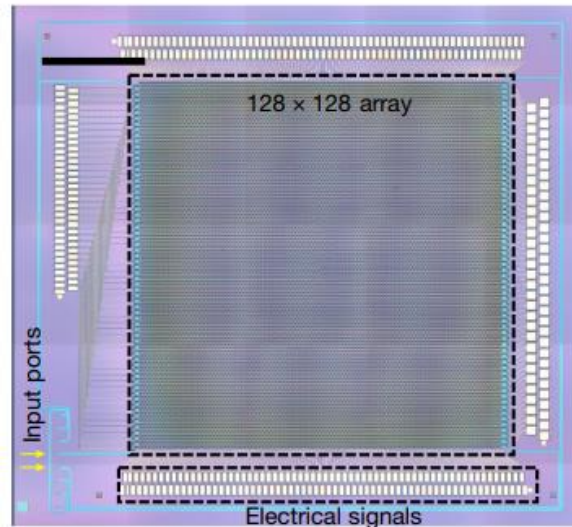
Applications of SiPh MEMS switch

240 x 240 switch
(Berkeley)



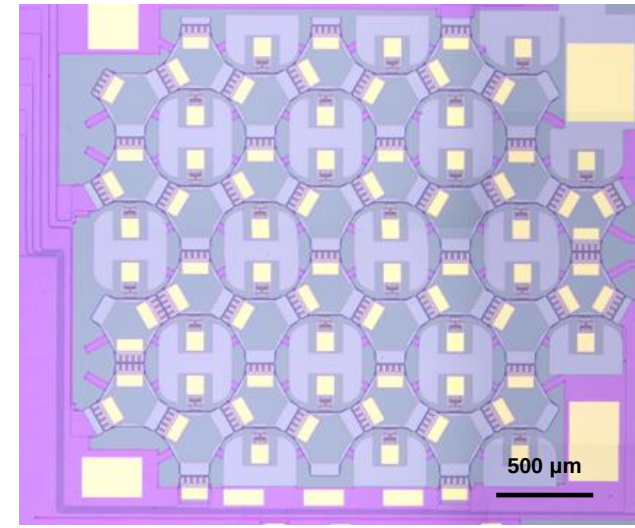
T.J. Seok et al., Optica, (2019)

128 x 128 beam scanner
(Berkeley)



Zhang, et al., Nature (2022)

Femto-watt
Programmable photonic array
(DGIST)

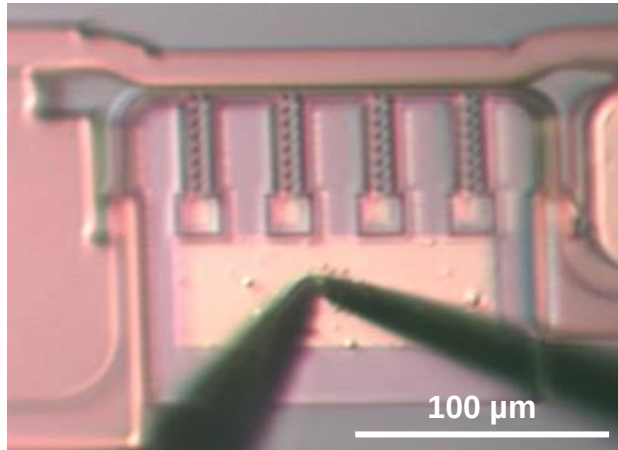


Kim, et al., Nature Photonics (2023)

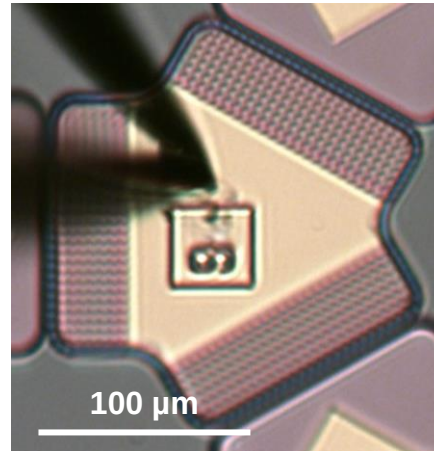
- Large scalability and ultra-low power consumption
→ opened up new applications for integrated switch

Actuation videos

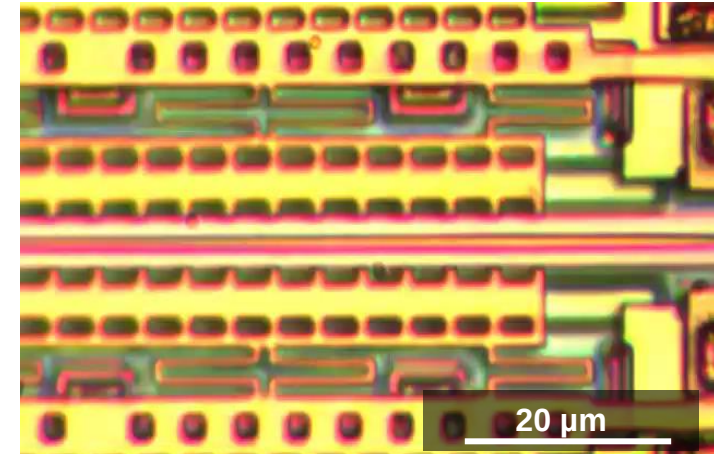
Multicast switch



Programmable photonic array



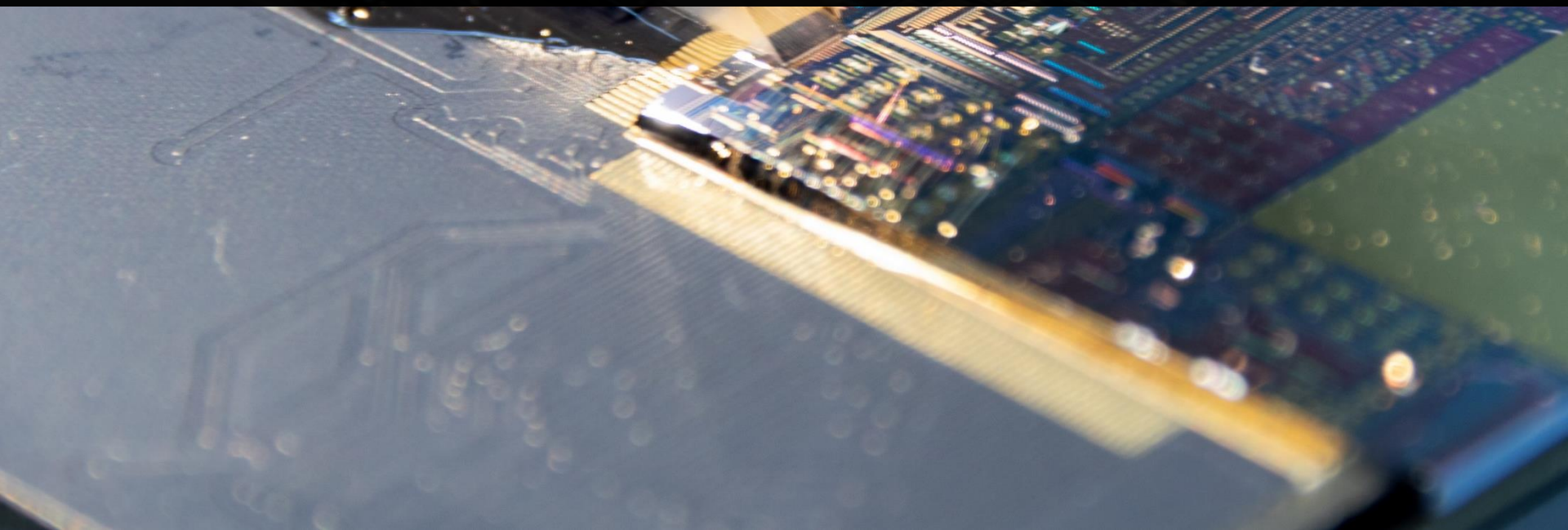
Polarization-independent switch



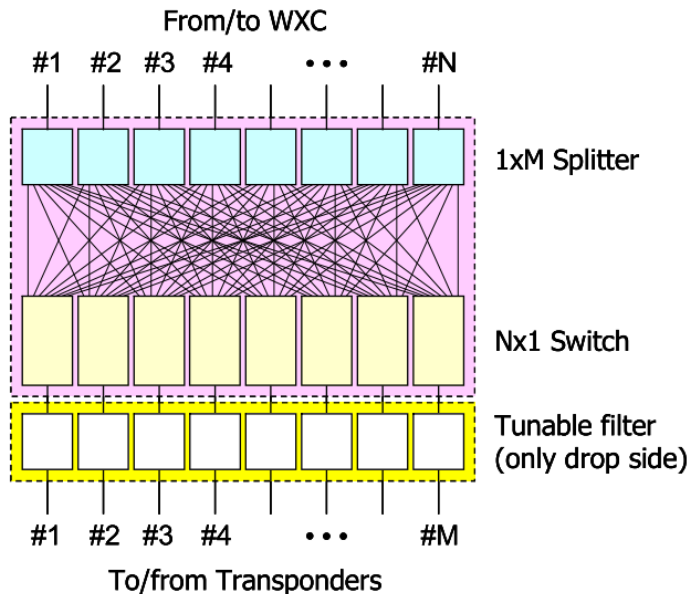
- Less than 1 μm of displacements are needed
 - Large advantages in reliabilities
 - Fast operation speed
 - Compact device footprint



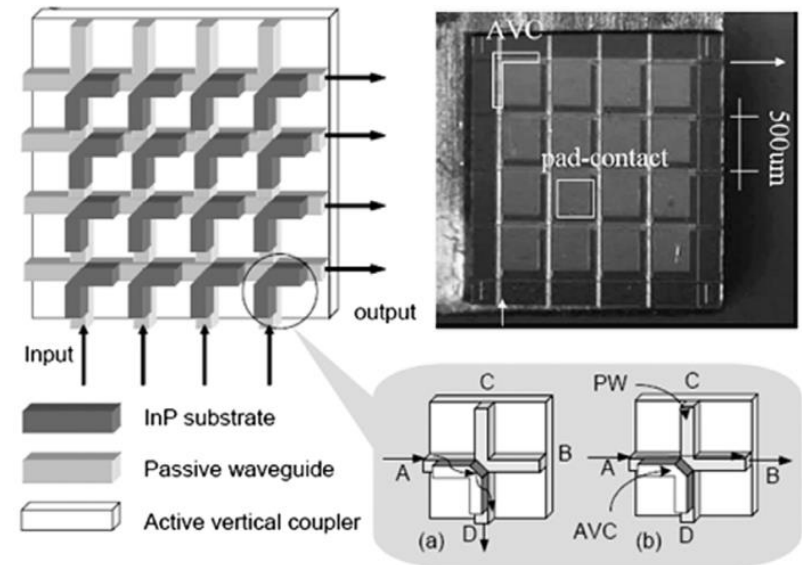
Multicast 1x12 Si Photonic MEMS switch



Multicast optical switches



Watanabe, et al [NTT], OFC 2012

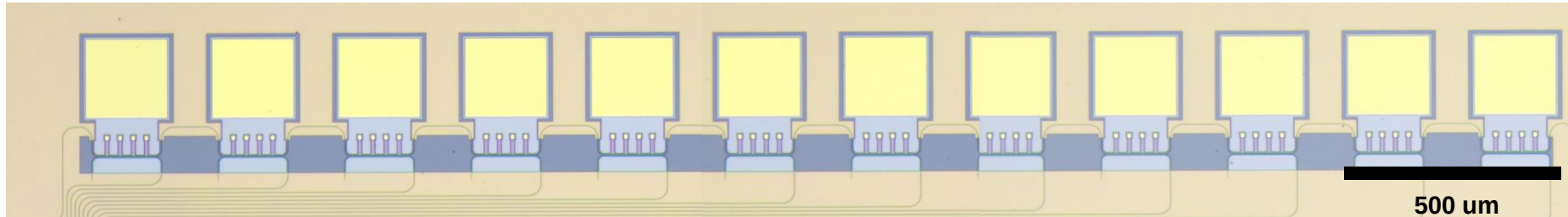


Geldenhuys, et al [Univ. of new South Wales], Opt. Switch. Netw 2011

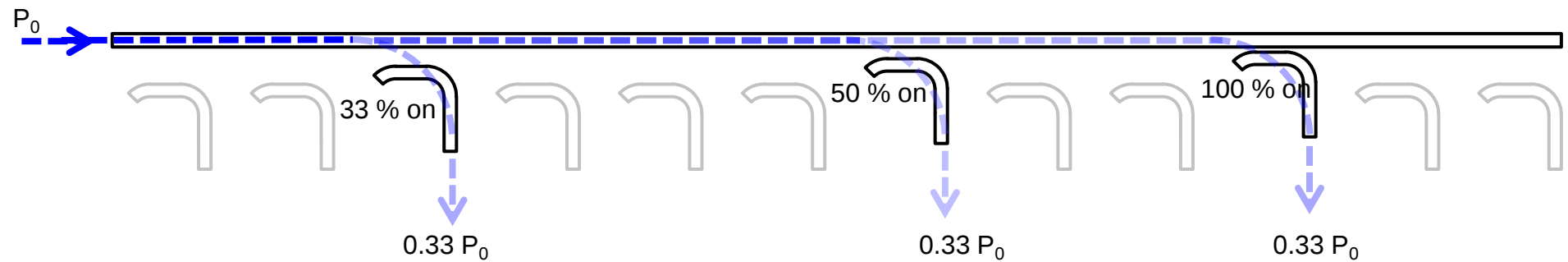
- Multicast optical switches allow high bandwidth transmission from one source to multiple destinations
 - e.g. video conference, video-on-demand (VoD), IP-based television (IPTV)
- Previous implementation of multicast switches
 - Broadcast-and-select: optical power wasted
 - Active coupler: high electrical power consumption

Multicast SiPh MEMS Switch (DGIST)

Chip image



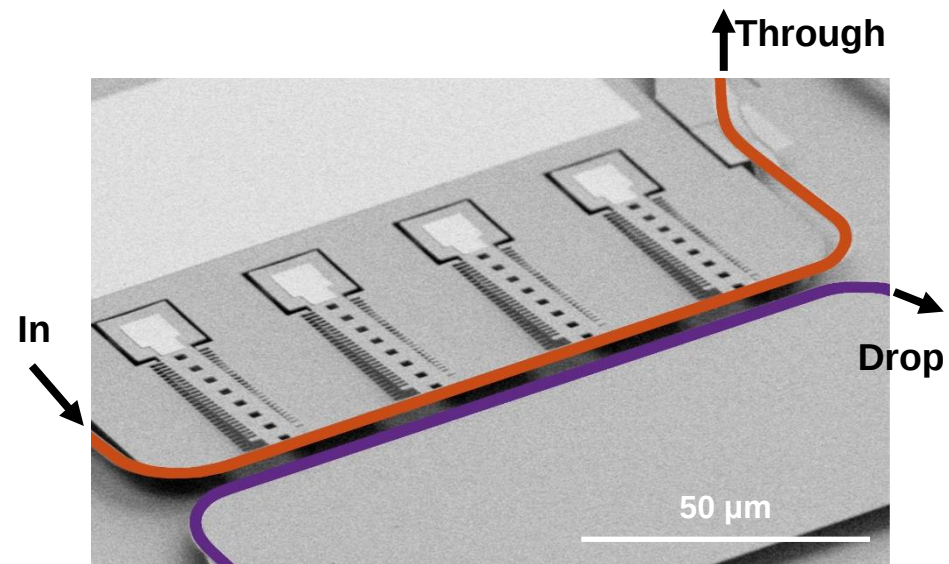
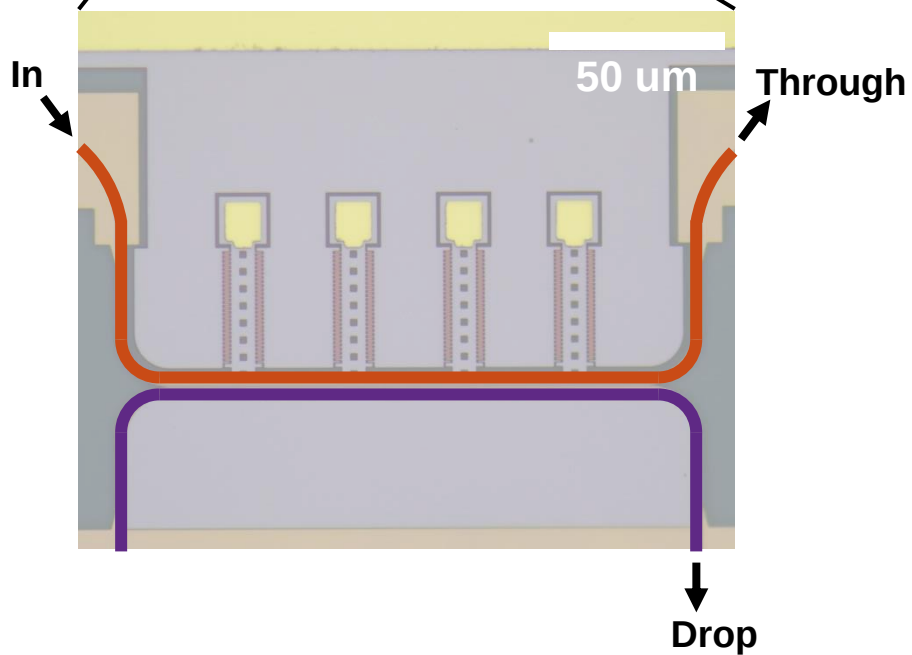
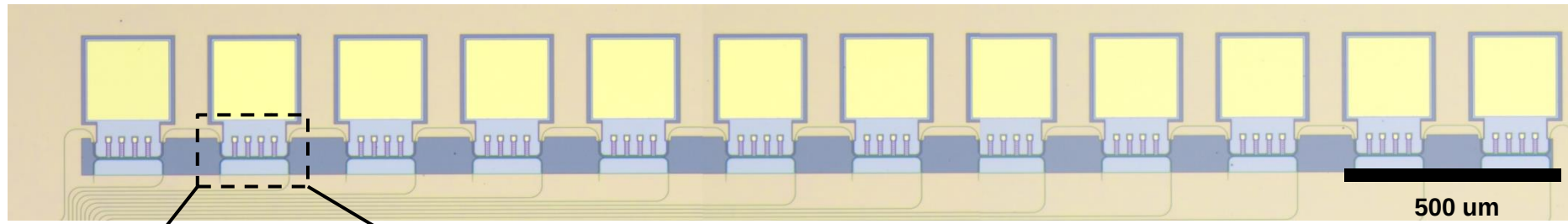
Schematic



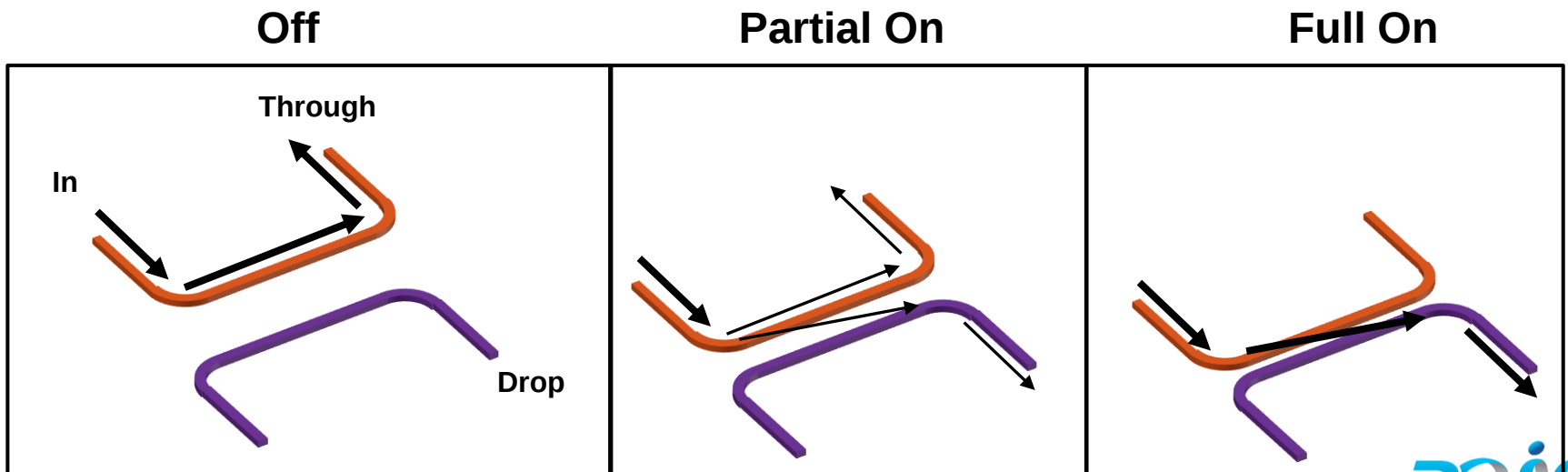
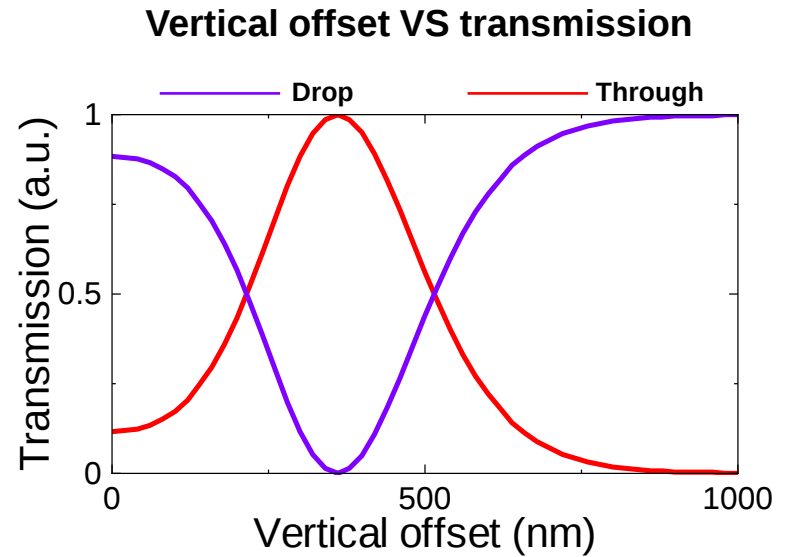
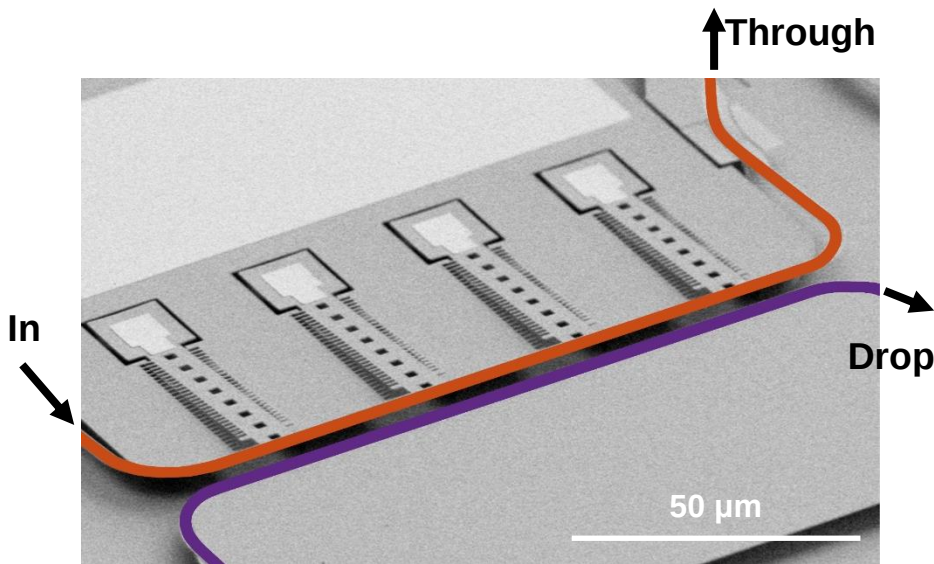
- Splitting light power to multiple ports by partially turning on MEMS coupler
- No optical power loss to un-selected ports

Multicast SiPh MEMS Switch

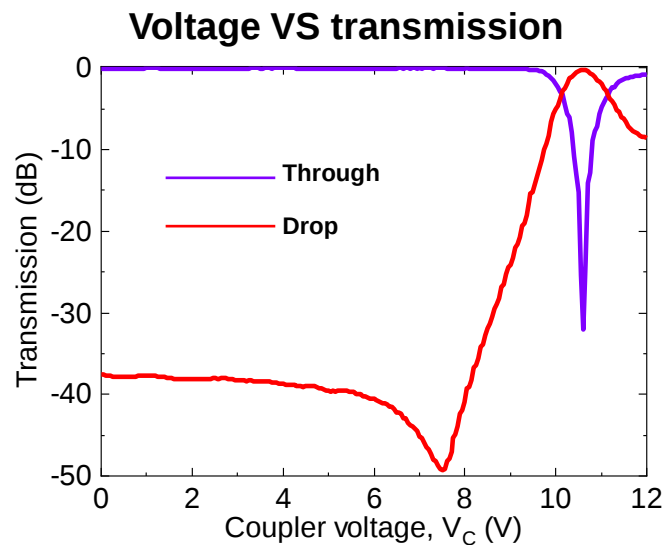
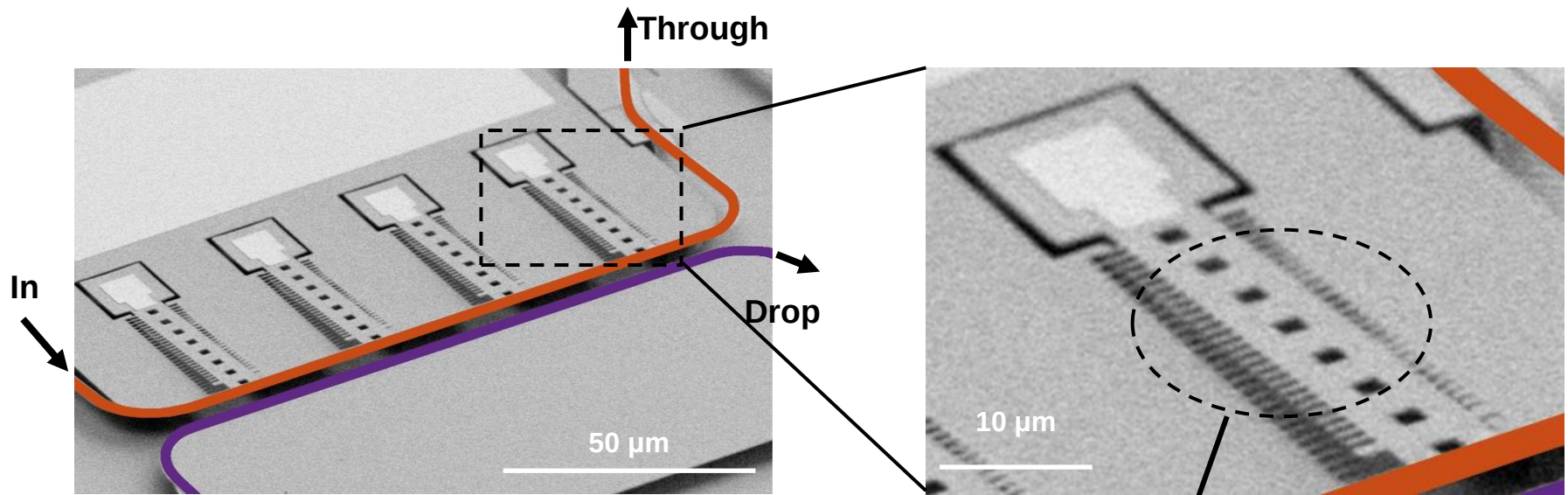
Chip image



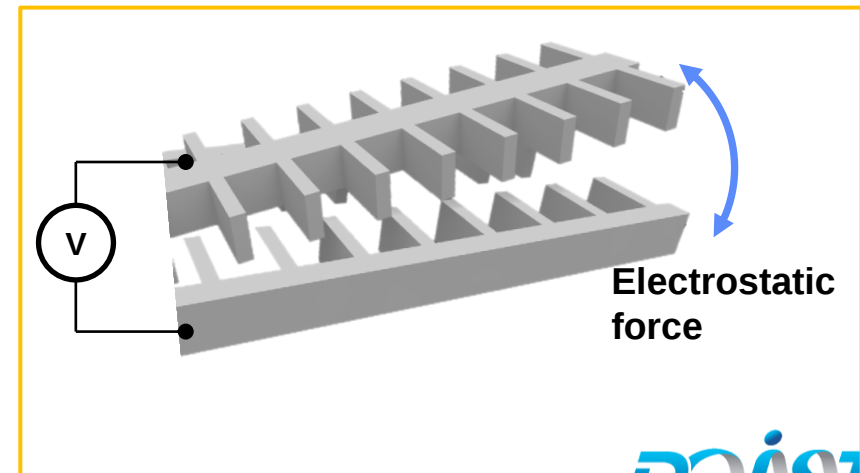
How unit cell works (optical)



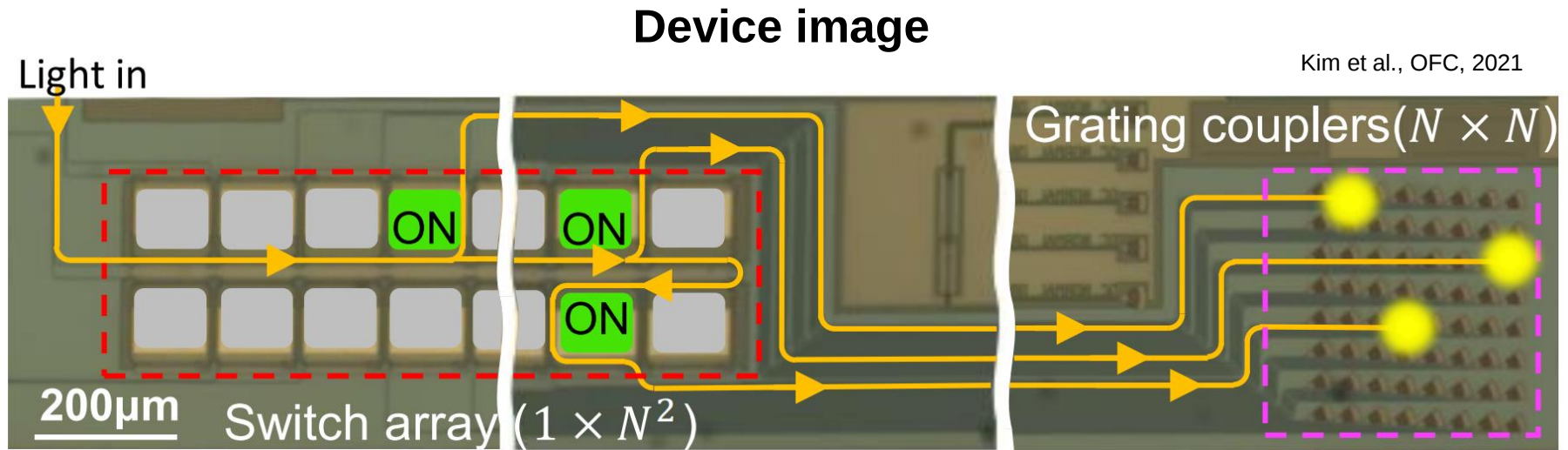
How unit cell works (electro-mechanical)



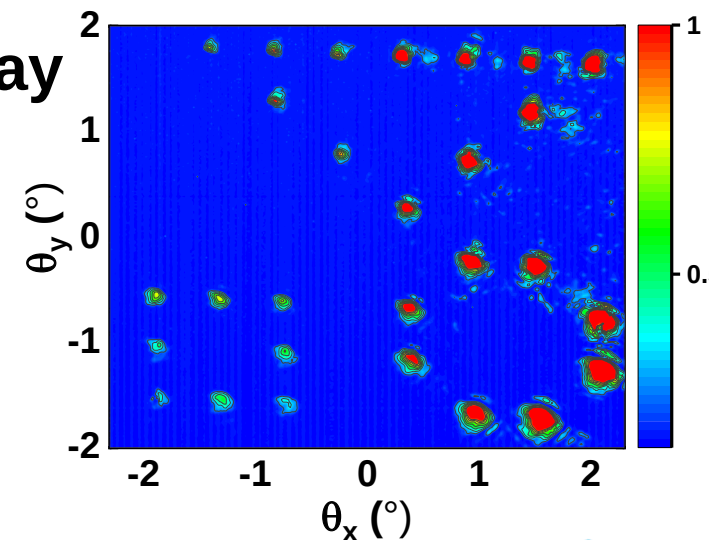
Vertical comb-drive actuator



Multicast MEMS switch in LiDAR (DGIST)

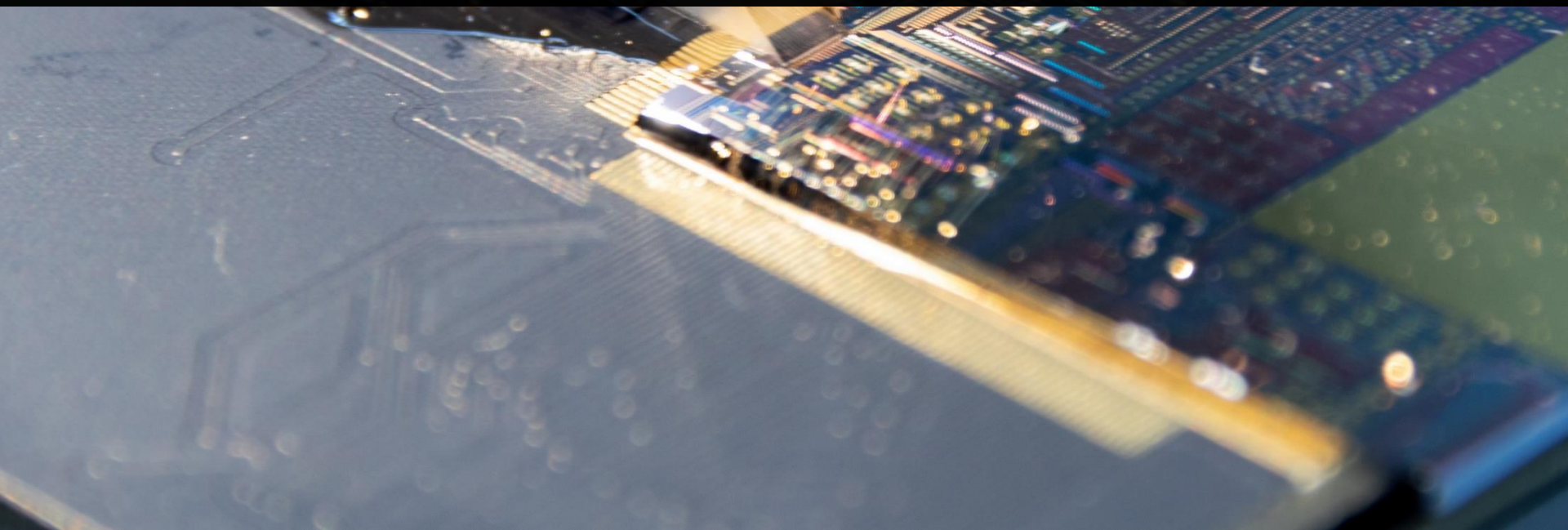


- Multicast switch + grating coupler array
- Can program beam pattern to emit
- Applications: space optical communications, LiDAR



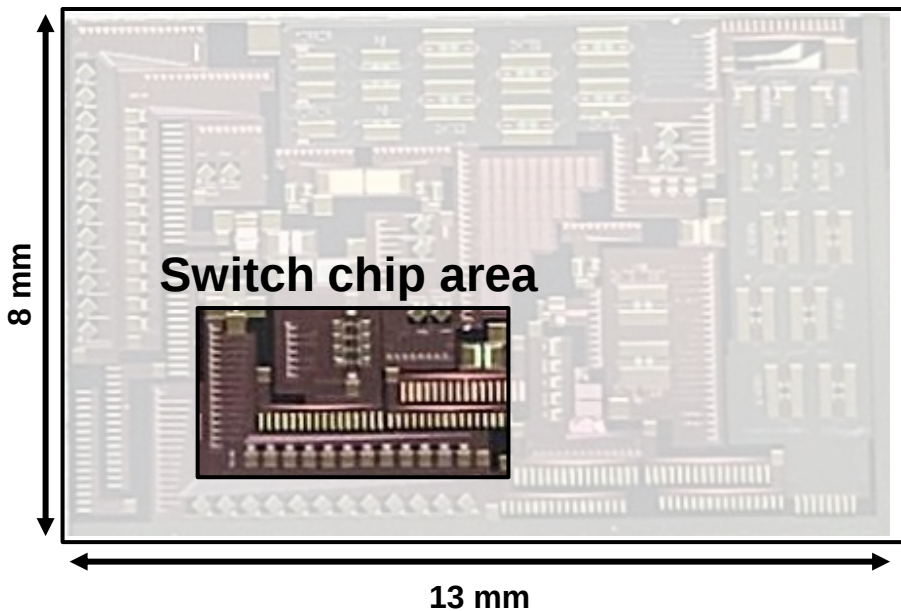


Electrical packaging

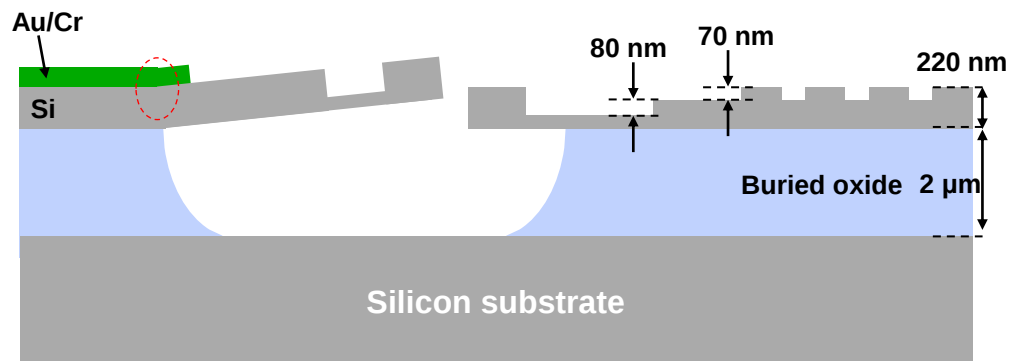


Switch chip overview

Switch chip image

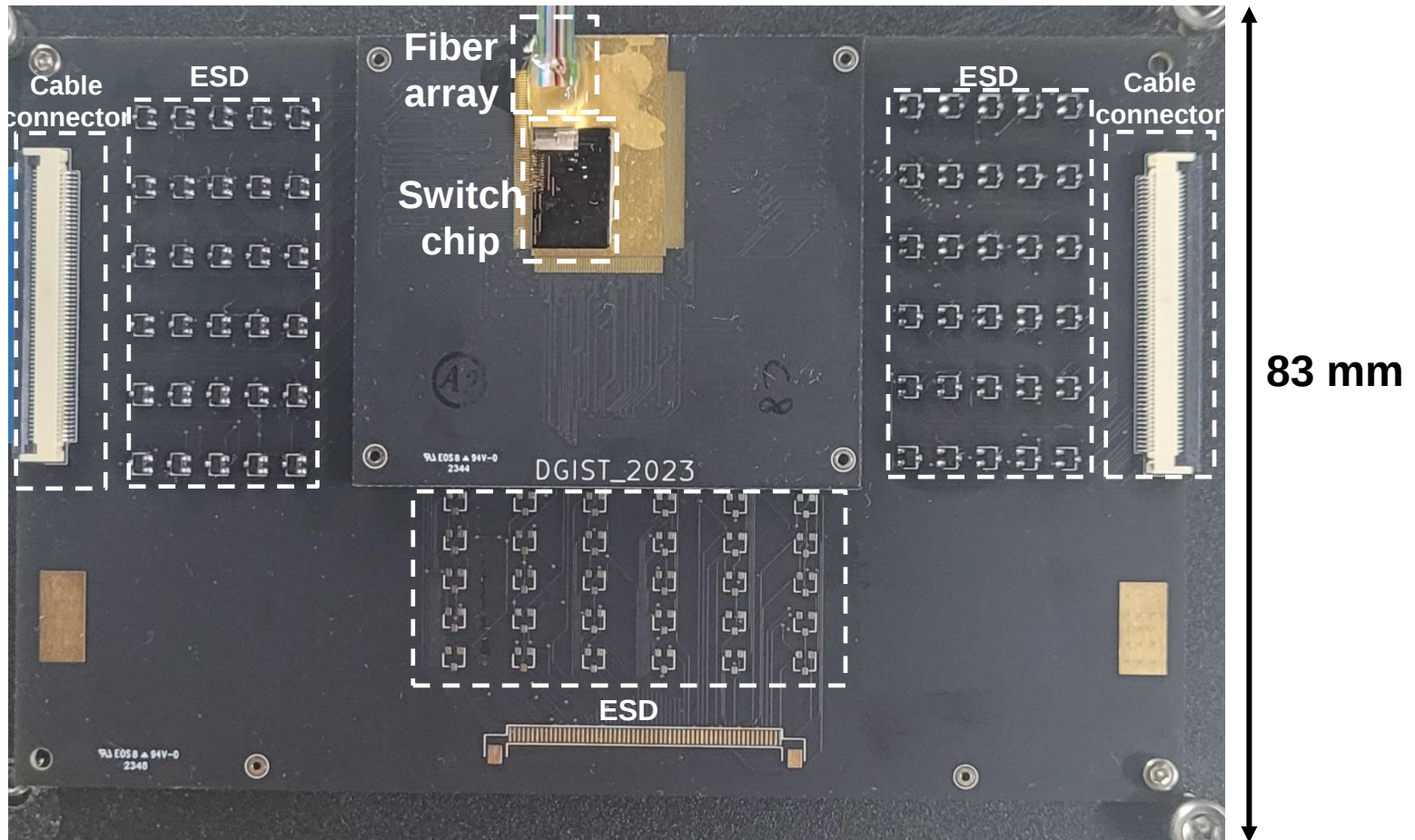


Chip cross-section



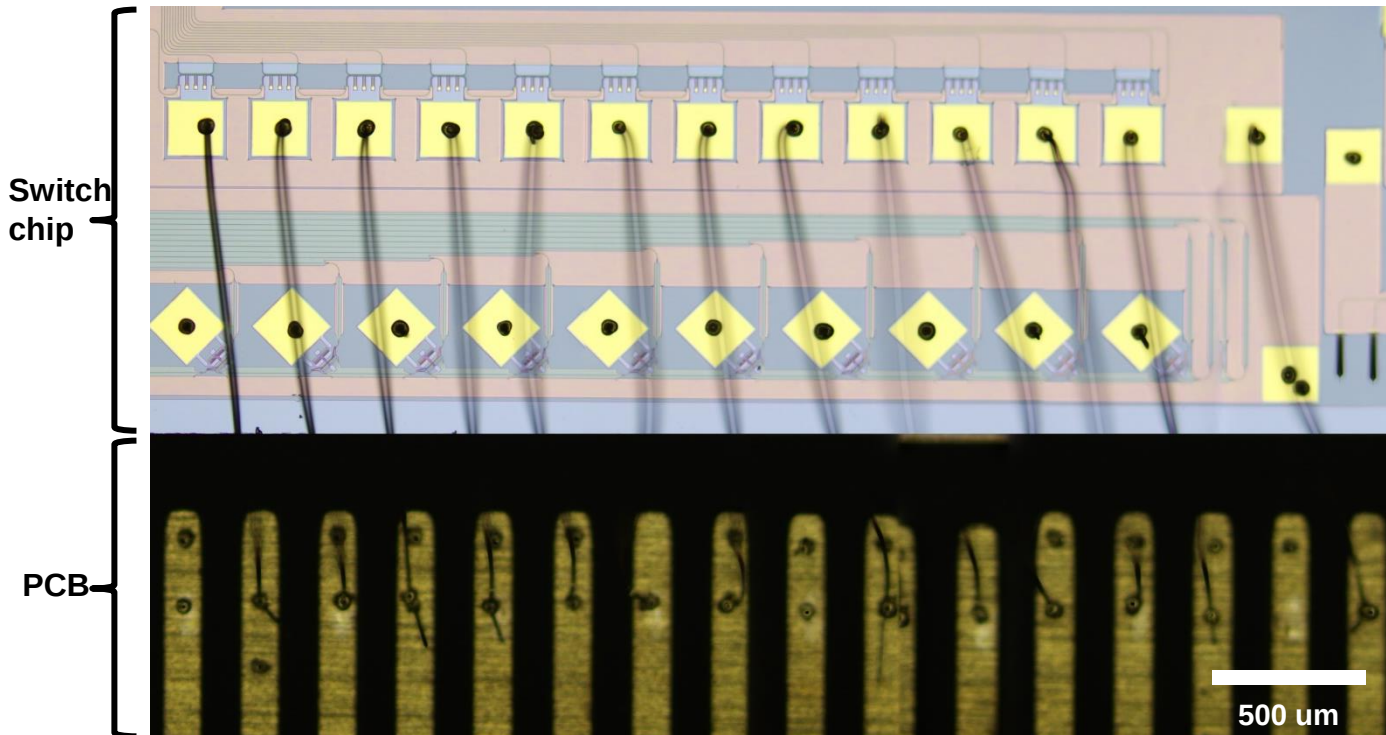
- Co-fabricated with other Si photonics devices (CMOS compatible)
- 200 mm silicon-on-insulator wafer with KrF stepper
 - Si: 220 nm, Buried oxide: 2 μm
- Four-mask process
 - 3 steps: Si etching, 1 step: metal definition
- HF vapor etching as a final step to release actuators

Packaged chip overview

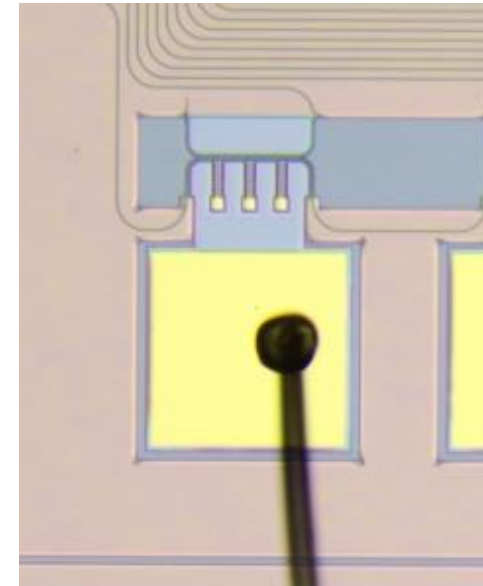


- Custom-designed printed circuit board with ESD for protection from electrostatic discharge

Au ball wire bonding



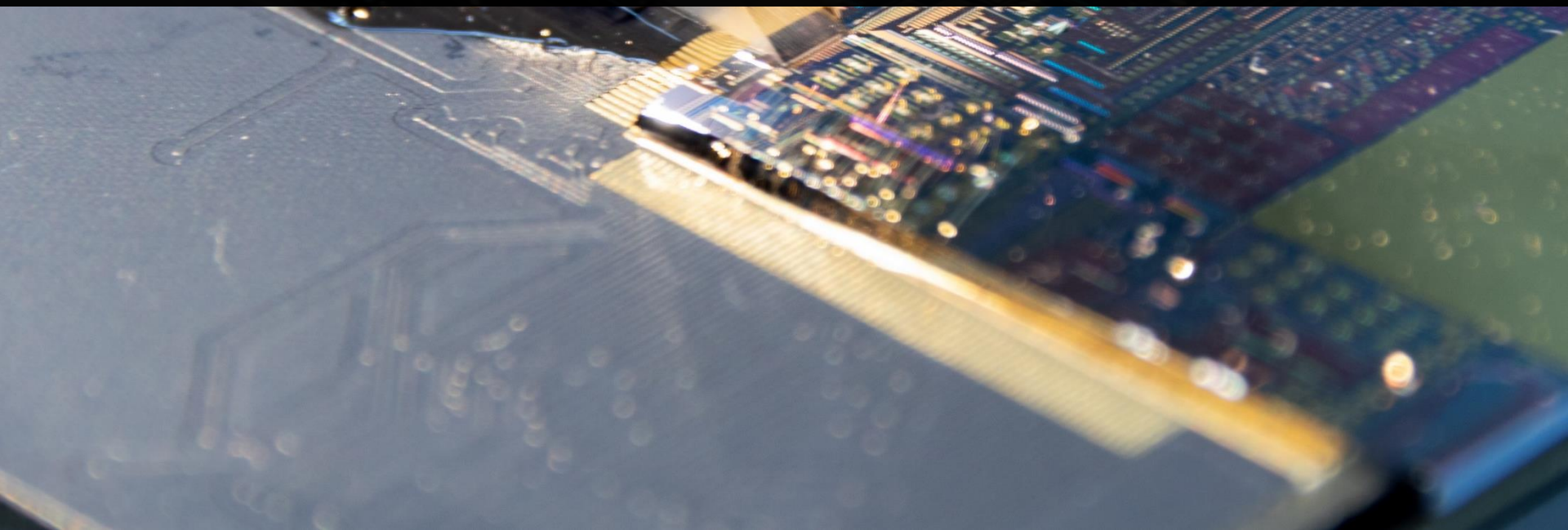
Unit cell



- Metal pad size: $200 \times 200 \mu\text{m}^2$
- Wire type: $25 \mu\text{m}$ diameter, Au, ball bonding
- Wire was securely grounded while wire bonding

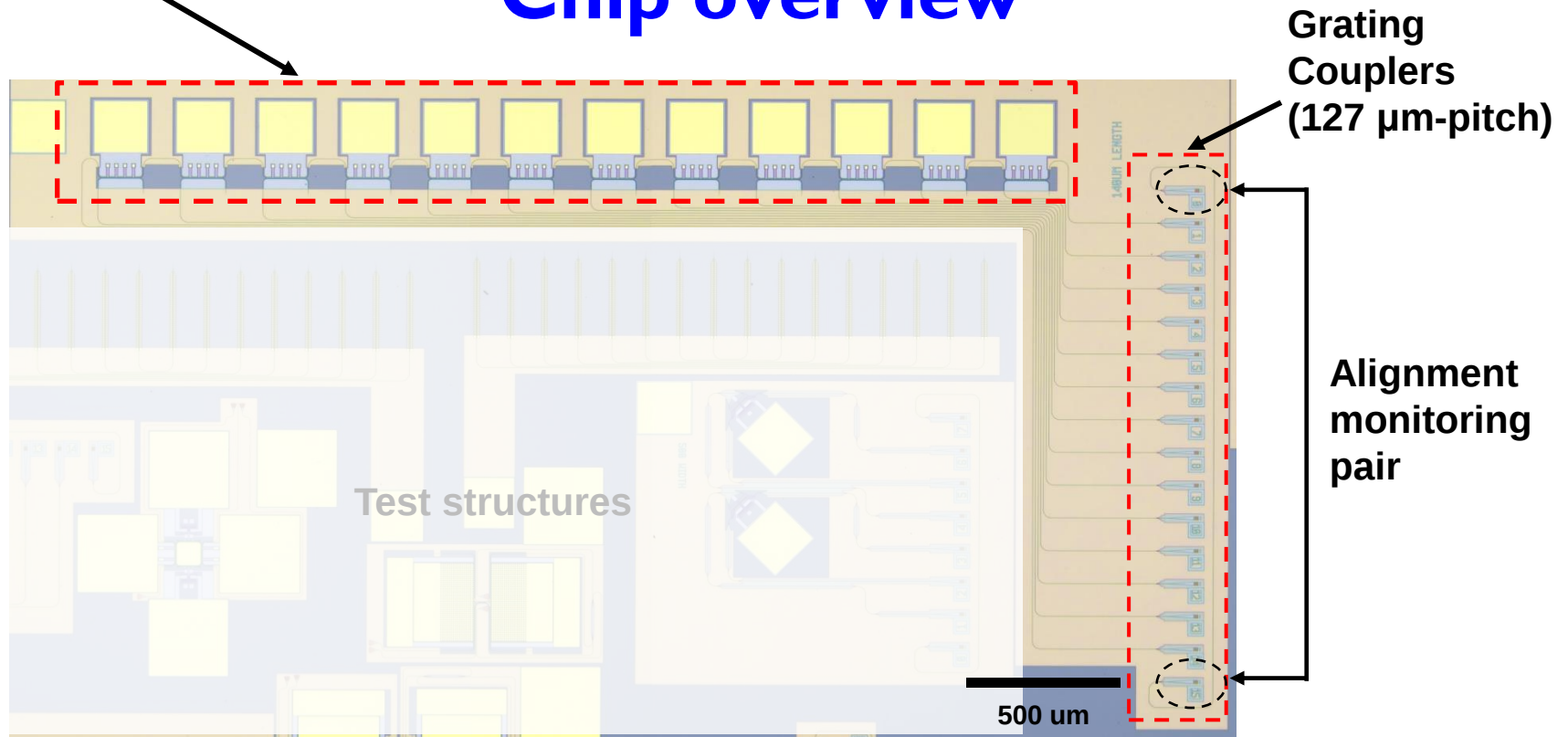


Optical packaging



1x12 Switch array

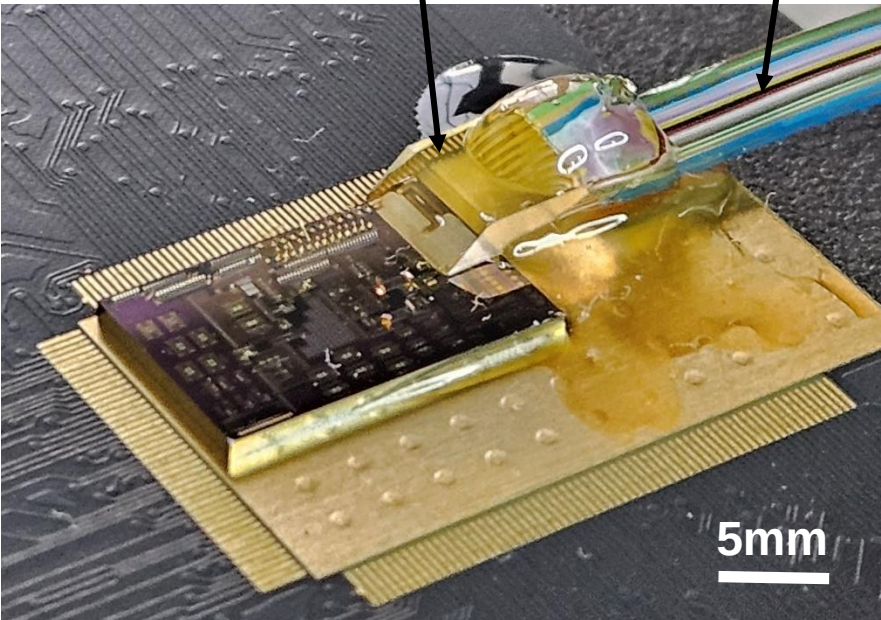
Chip overview



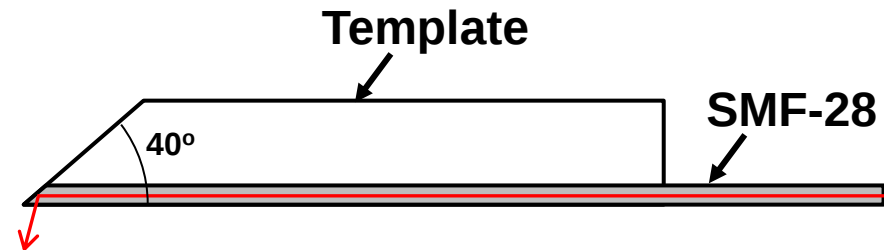
- 127 μm-pitch grating coupler array
- Coupling angle: 8°
- Loop back grating coupler set for alignment monitoring

Packaged chip overview

Fiber array Fibers



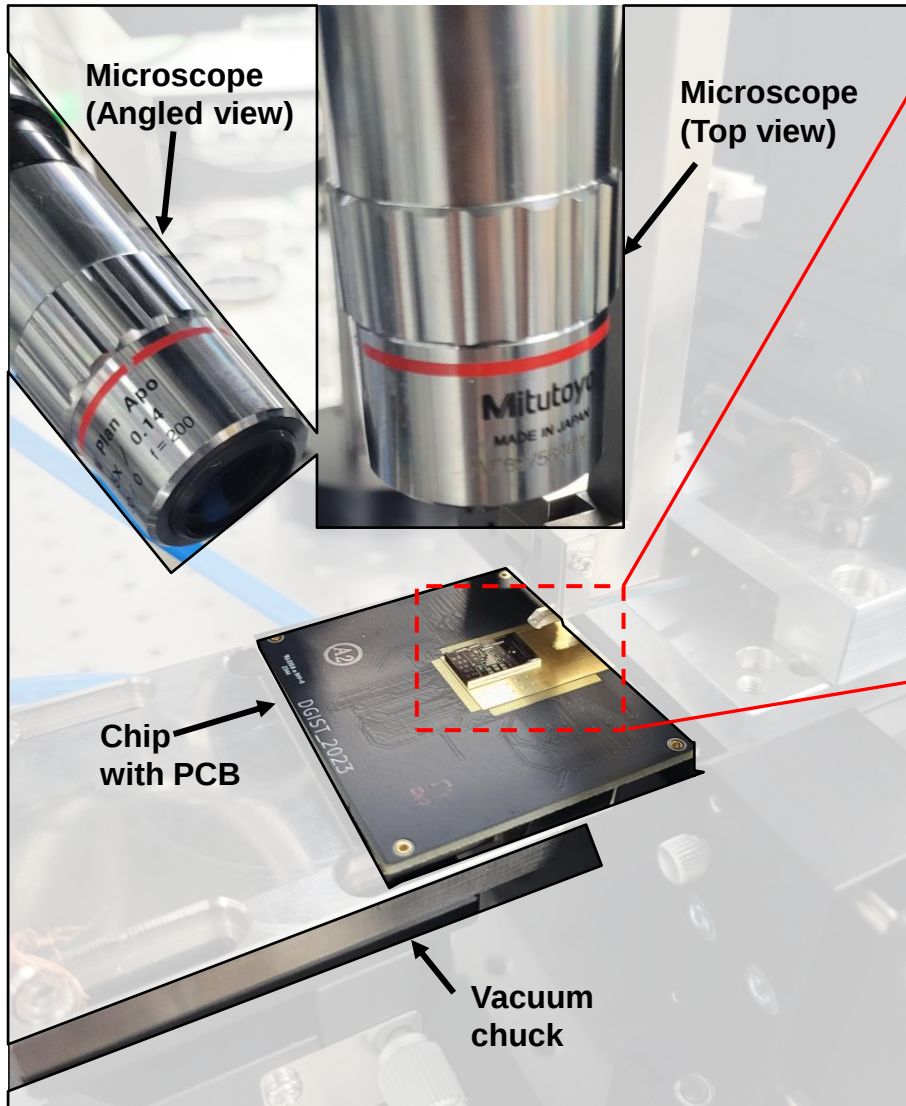
Fiber array cross-section



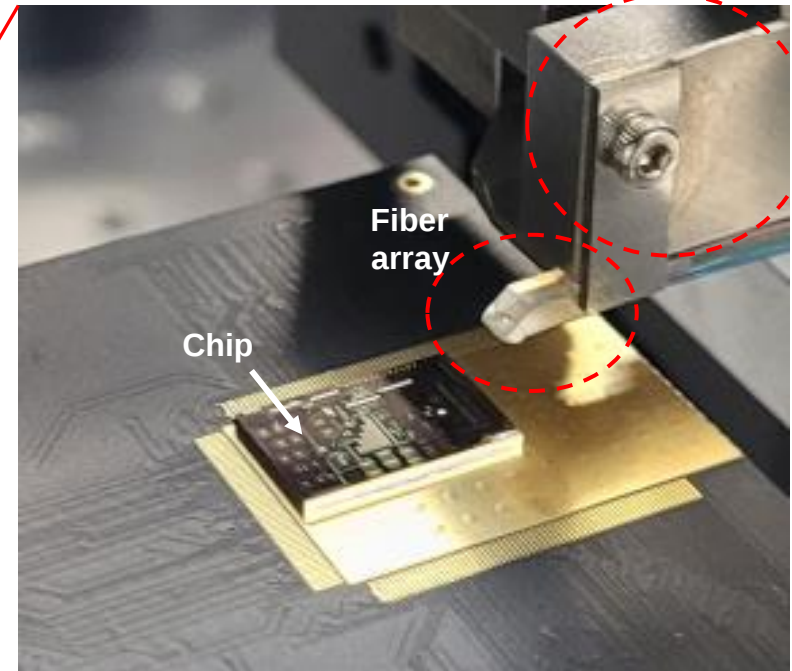
- UV epoxy was applied between the chip and fiber array
- SMF-28 fiber (MDF = $8.4 \mu\text{m}$)
- $127 \mu\text{m}$ -pitch, 32-channel (16 channels used)
- 40° polished (total internal reflection for near vertical coupling)

Fiber array align & bonding setup

Setup image



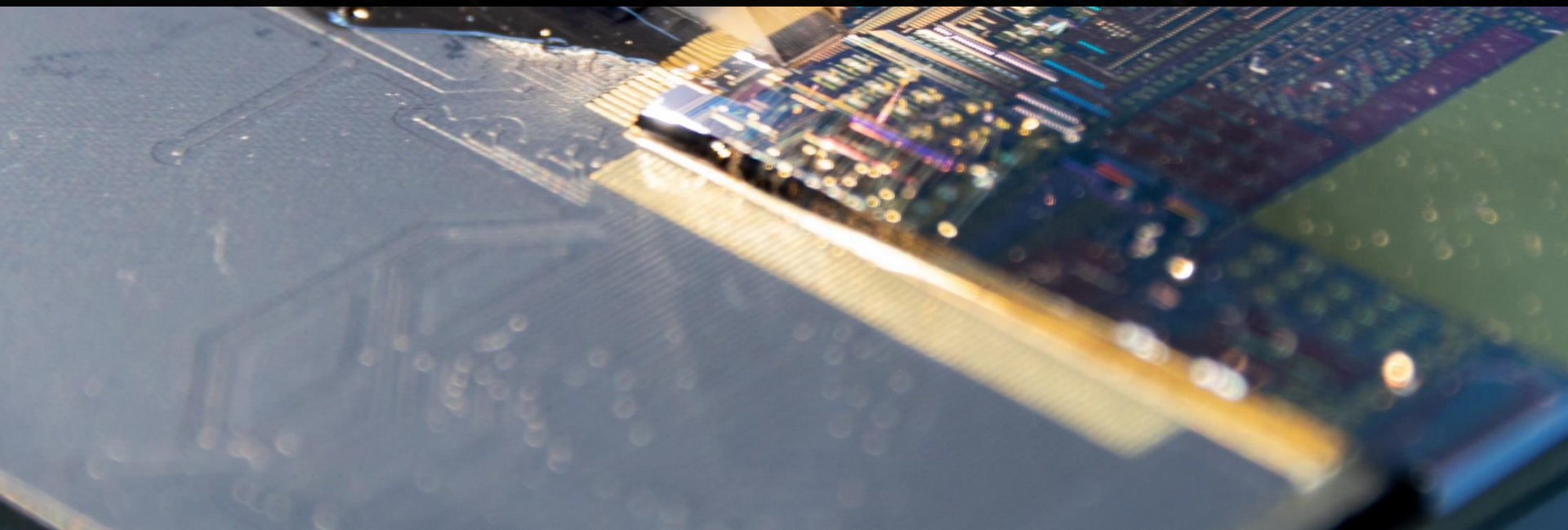
To 6-axis micro-stage



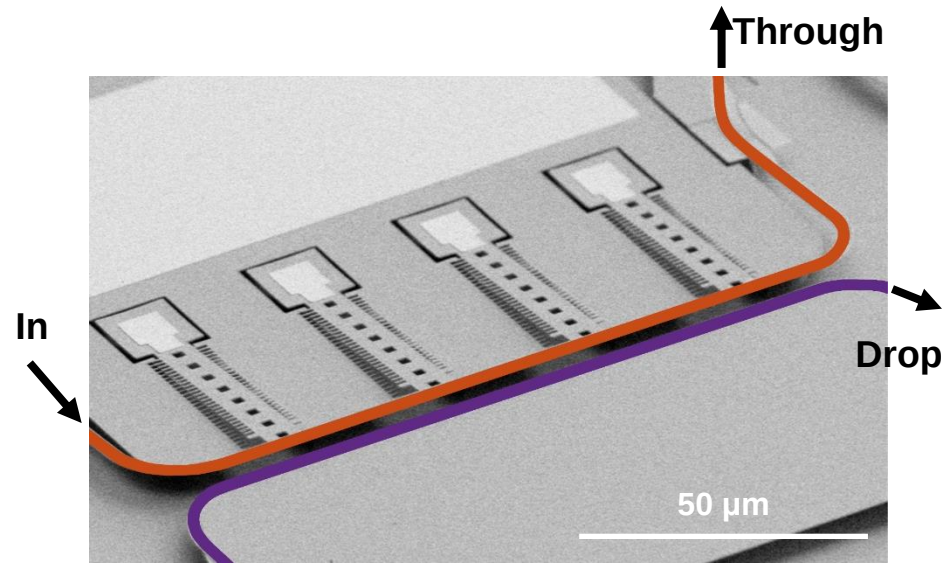
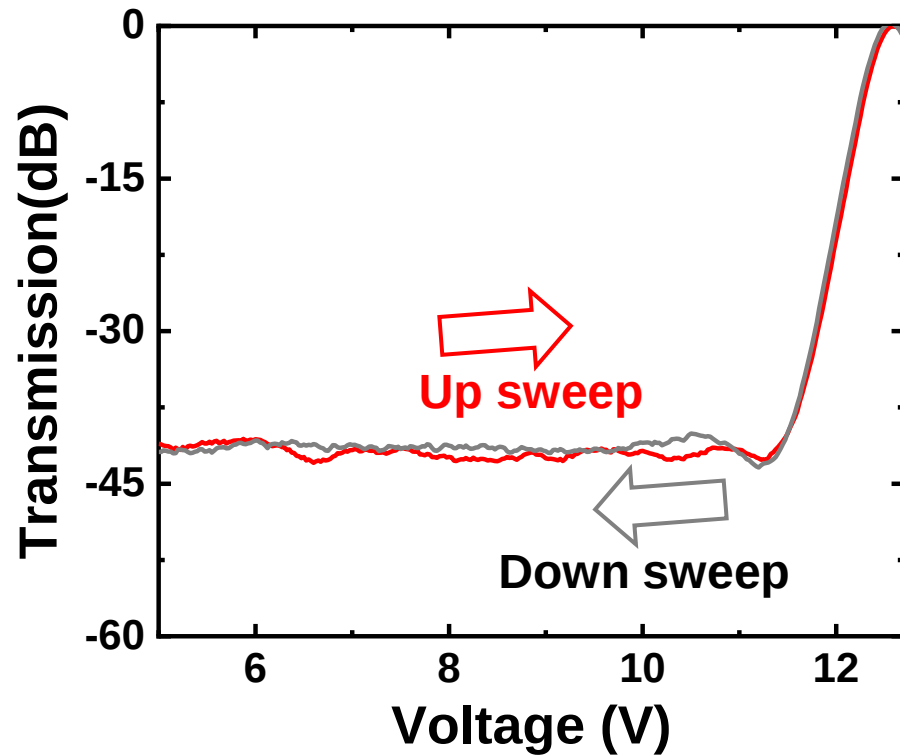
- Two microscopes for top and angled view
- 6-axis micro-stage for fiber array alignment ($x, y, z, \theta_x, \theta_y, \theta_z$)
- Fiber-chip insertion loss: 6 dB



Reliability test results



Hysteresis test

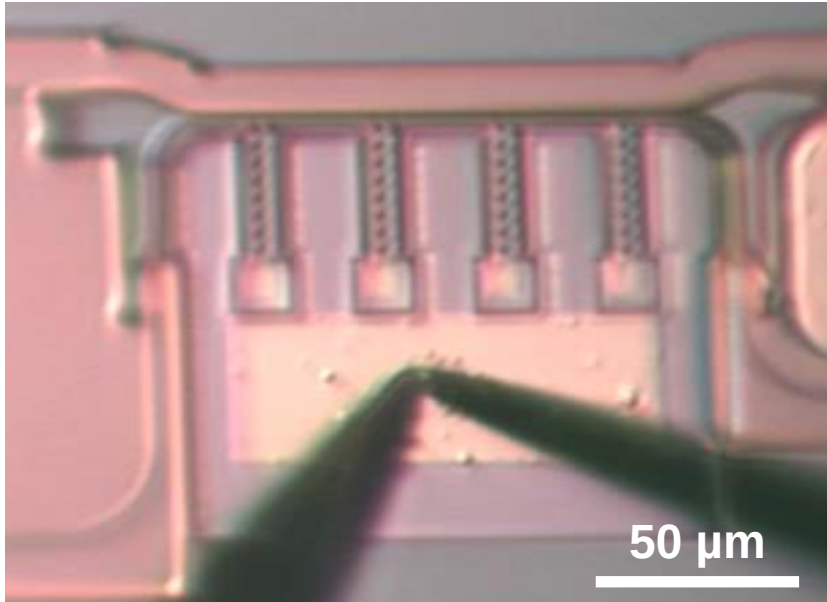


- Identical switch response for up sweep and down sweep.
- No noticeable hysteresis has been found.

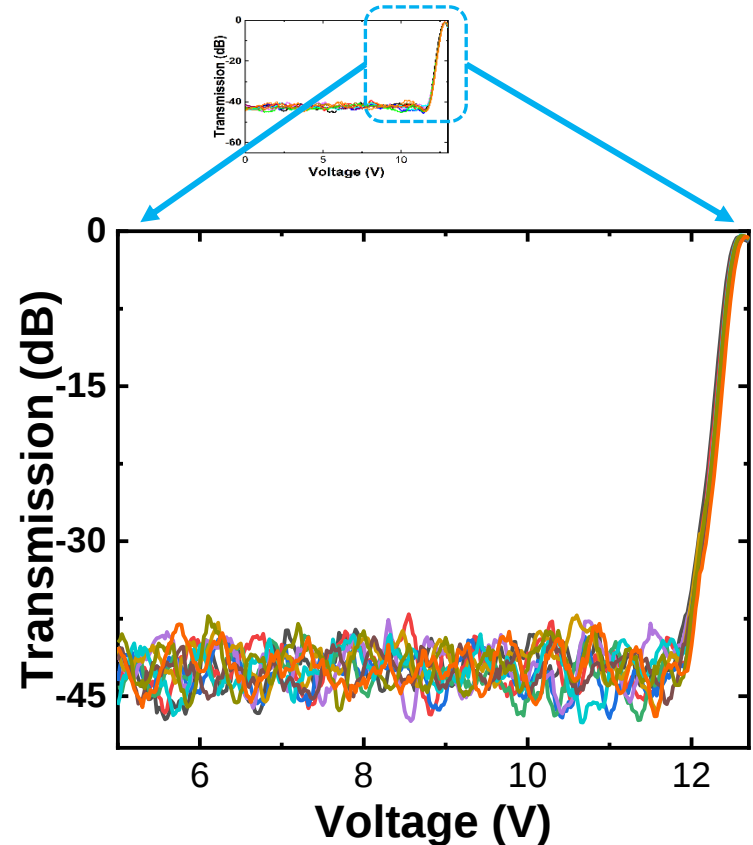
On-off cycling test

Actuation video

(actual device under test was packaged)

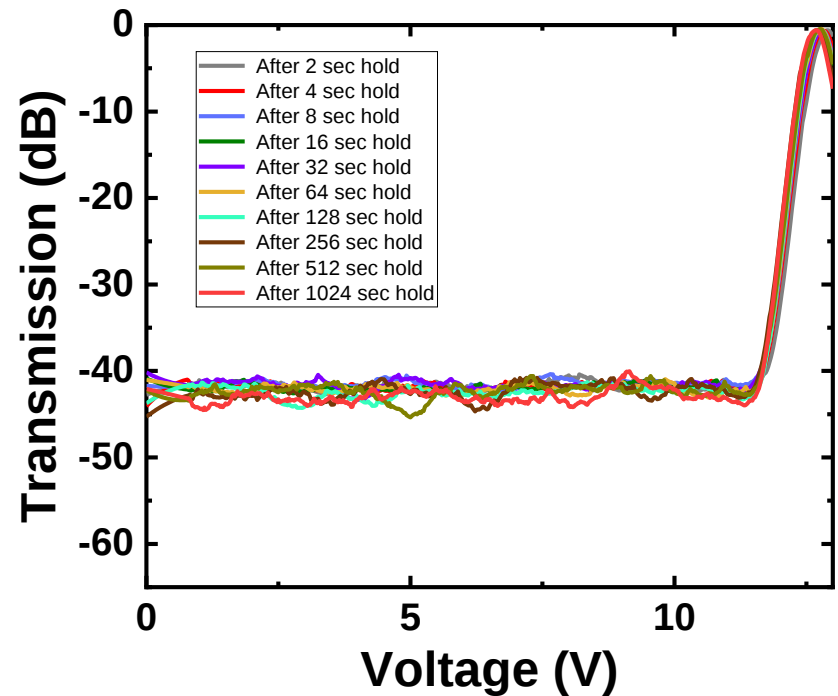
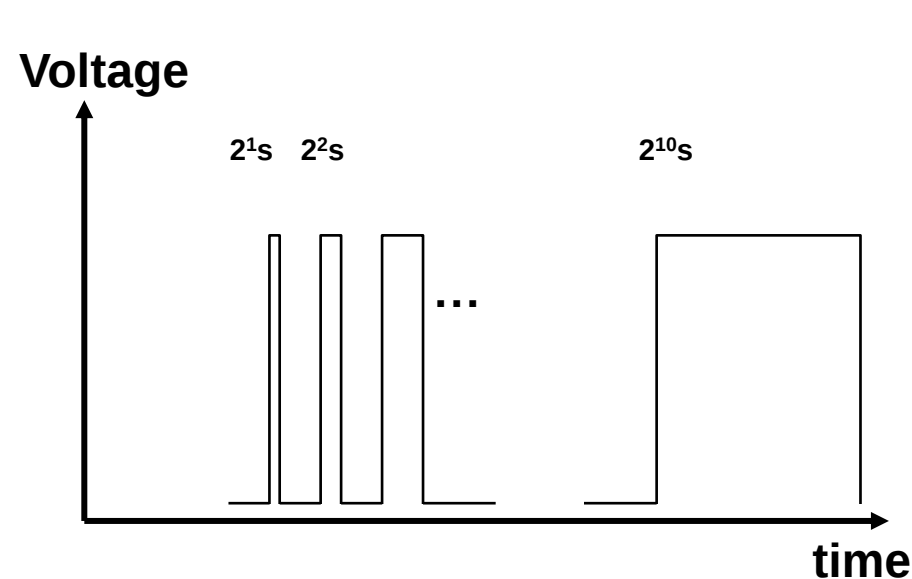


Transmission over 10^9 cycles (measured every 10^8 cycles)



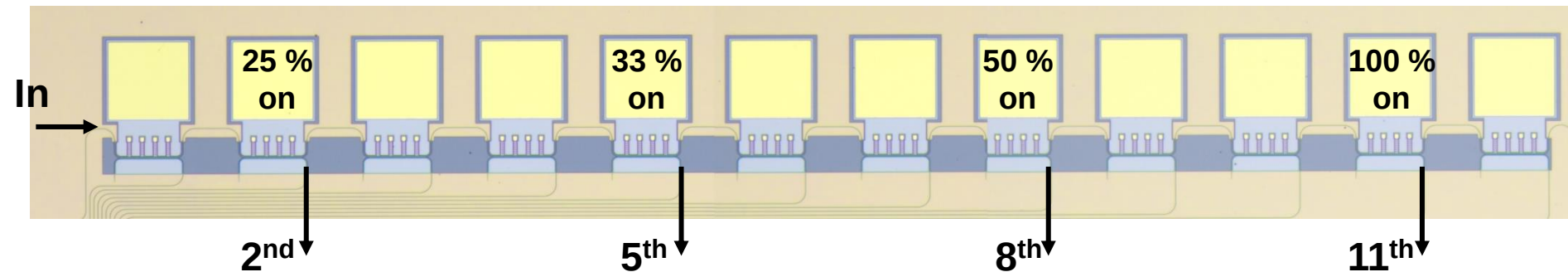
- Total 10^9 cycles on-off
 - 50 kHz square wave, ~ 6 hours
- Tested under ambient conditions (no humidity/temperature control)
- Transmission curve was obtained every 10^8 cycles

On hold time test

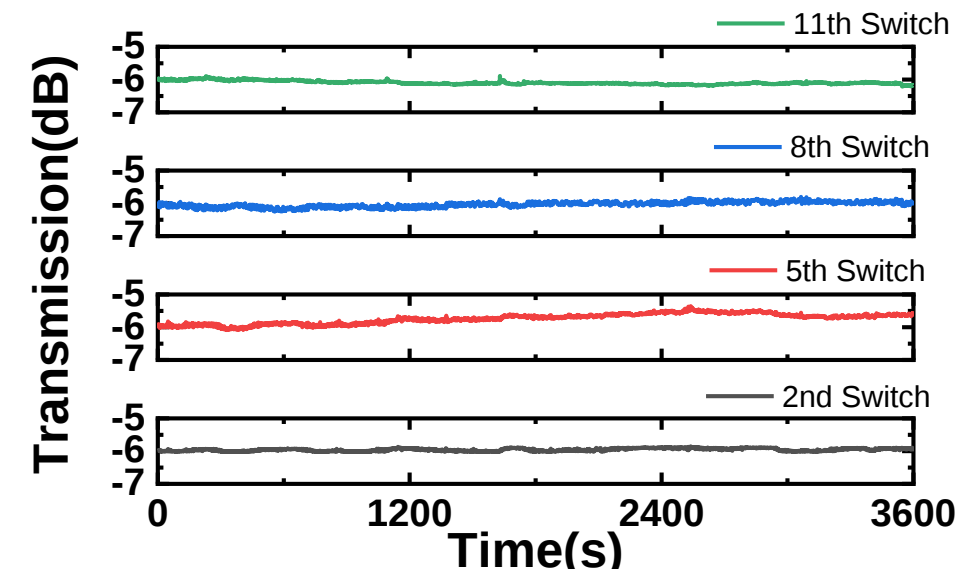


- Switch response measured after On hold times of $2^1, 2^2, 2^3 \dots, 2^{10}$ s
- No noticeable change in switch response

Multicast Stability Test

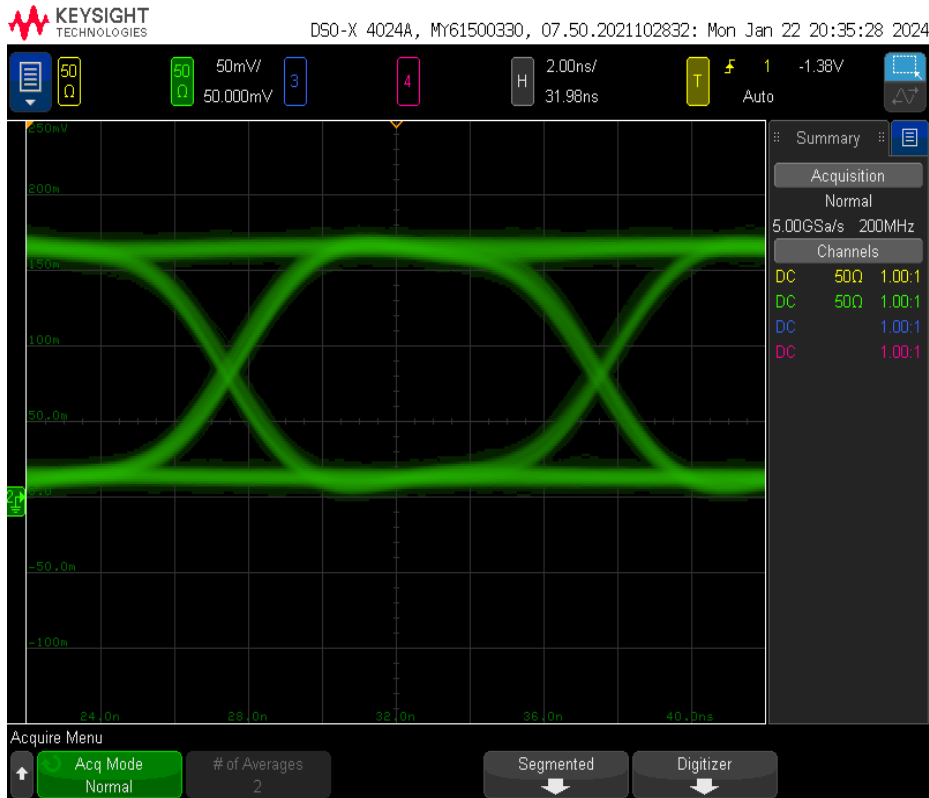


Transmission over 1 hour

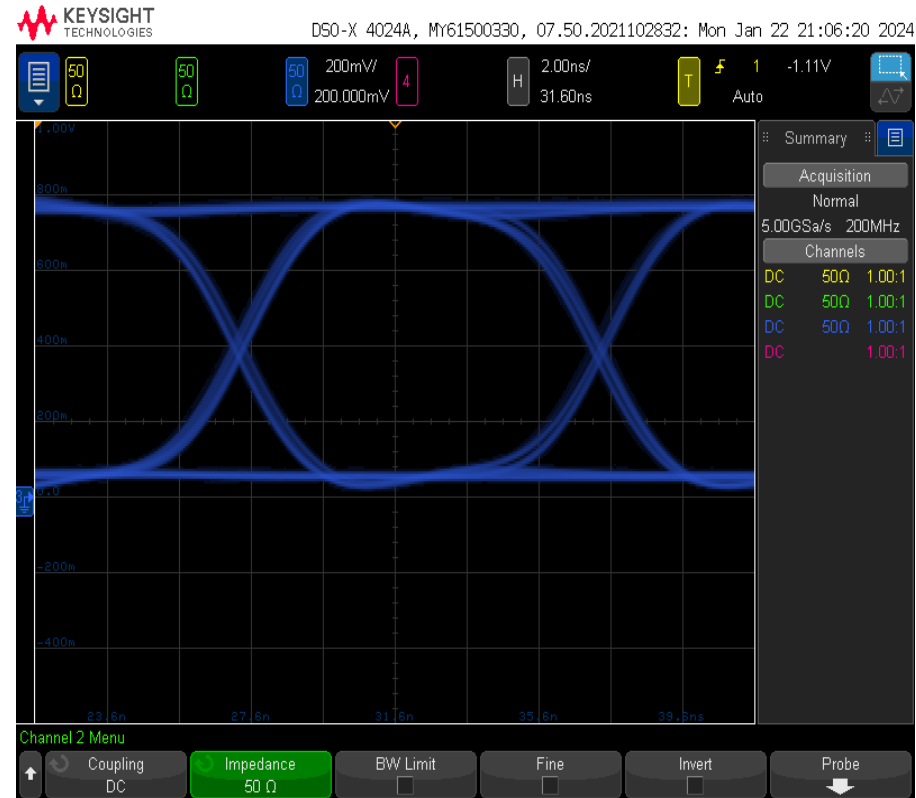


- Optical power is equally distributed among 4 ports (- 6 dB)
- < 0.16 dB deviation over 1 hour
 - Cf. laser power fluctuation = 0.05 dB
- Tested under ambient conditions (no humidity/temperature control)

Data transmission test (primary results)



At drop port

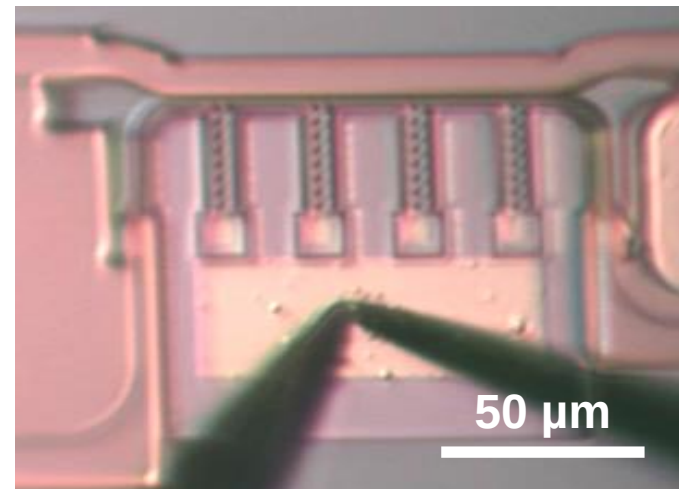
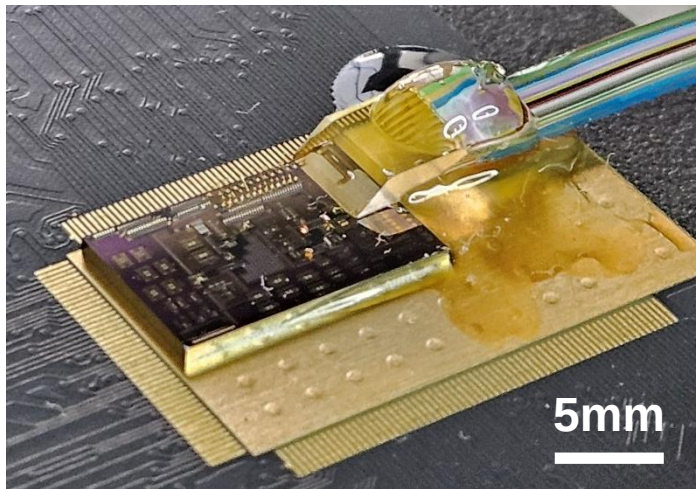


At through port

- Pseudorandom binary sequence (100 Mbps)
 - Getting a new setup for higher (~ 50 Gbps) data transmission tests
- No degradation of signal after the packaged switch

Summary

- 1x12 silicon photonic MEMS switch was fabricated.
- It was fully (electrically & optically) packaged.
- Several reliability tests were conducted with packaging.
- The device was very reliable even though the test was conducted under ambient conditions.



Acknowledgements

<DGIST>

Prof. Sangyoon Han



Acknowledgment:

Excellent Enterprise Research Institute Development Project, the Ministry of Trade, Industry and Energy of the Republic of Korea (ATC+ No.20017915)